

**DECLARATION - USA PATENT APPLICATION**

#3

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

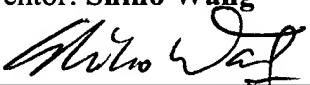
I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled SOL-GEL PROCESS UTILIZING REDUCED MIXING TEMPERATURES; the specification of which was filed on **October 9, 2001** as Application Serial No. **09/974,725**.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of first inventor: **Shiho Wang**

Inventor's signature 

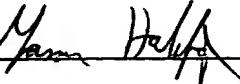
Date Dec 10 '01

Residence: **26571 Normandale Drive, Apt. 34E, Lake Forest, California 92630**

Citizenship: **United States**

Post Office Address: **Same**

Full name of Second inventor: **Yasar Halefoglu**

Inventor's signature 

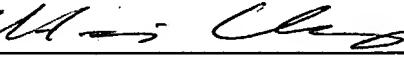
Date 12/10/01

Residence: **46 Nightingale Drive, Aliso Viejo, California 92656**

Citizenship: **Canadian**

Post Office Address: **Same**

Full name of third inventor: **Chih-hsing Cheng**

Inventor's signature 

Date 12-10-01

Residence: **2031 Brooke Lane, Fullerton, California 92833**

Citizenship: **United States**

Post Office Address: **Same**

Full name of fourth inventor: **Dengfeng Xu**

Inventor's signature 

Date 12-10-01

Residence: **28 Carnation, Irvine, California 92618**

Citizenship: **Chinese**

Post Office Address: **Same**

Full name of fifth inventor: **David Kwong Nung Chan**

Inventor's signature 

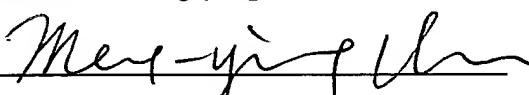
Date 12/10/01

Residence: 10322 Circulo De Zapata, Fountain Valley, California 92708

Citizenship: Canadian

Post Office Address: **Same**

Full name of sixth inventor: **Meng-ying Chen**

Inventor's signature 

Date 12/10/01

Residence: 106 6F AN-HO Rd. Sec. 1, Taipei, Taiwan

Citizenship: Taiwanese

Post Office Address: **Same**

Full name of seventh inventor: **Chinh Do**

Inventor's signature 

Date 12/10/01

Residence: 9301 Downing Circle, Westminster, California 92683

Citizenship: United States

Post Office Address: **Same**

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Send Correspondence To:

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Wang, et al.	)
			)
App. No.	:	09/974,725	)
			)
Filed	:	October 9, 2001	)
			)
For	:	SOL-GEL PROCESS UTILIZING	)
		REDUCED MIXING	)
		TEMPERATURES	)
			)
Examiner	:	Unknown	)
			)

ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION  
AND  
REVOCATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). A true copy of the original Assignment of the above-captioned application from the inventors to the Assignee is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventors to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone (949) 760-0404, Customer No. 20,995, as its attorneys with full power of substitution and

App. No. : 09,74,725  
Filed : October 9, 2001

revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use **Customer No. 20,995** for all communications.

Simax Technologies, Inc.

Dated: Dec. 10th '01

By: Frank S. Wang  
Dr. Frank S. Wang

Title: President

Address: 15291 Barranca Parkway  
Irvine, California 92618

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120601

## ASSIGNMENT

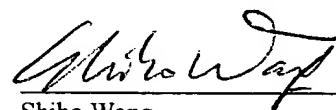
WHEREAS, We, Shiho Wang, a United States citizen, residing at 26571 Normandale Drive, Apt. 34E, Lake Forest, California 92630, Yasar Halefoglu, a Canadian citizen, residing at 46 Nightingale Drive, Aliso Viejo, California 92656, Chih-hsing Cheng, a United States citizen, residing at 2031 Brooke Lane, Fullerton, California 92833, Dengfeng Xu, a Chinese citizen, residing at 28 Carnation, Irvine, California 92618, David Kwong Nung Chan, a Canadian citizen, residing at 10322 Circulo De Zapata, Fountain Valley, California 92708, Meng-ying Chen, a Taiwanese citizen, residing at 106 6F AN-HO Rd. Sec. 1, Taipei, Taiwan, and Chinh Do, a United States citizen, residing at 9301 Downing Circle, Westminster, California 92683, have invented certain new and useful improvements in a SOL-GEL PROCESS UTILIZING REDUCED MIXING TEMPERATURES for which we have filed an application for Letters Patent in the United States, Application No. 09/974,725, filed October 9, 2001;

AND WHEREAS, Simax Technologies, Inc. (hereinafter "ASSIGNEE"), a California corporation, with its principal place of business at 15291 Barranca Parkway, Irvine, California 92618, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10th day of December, 2001



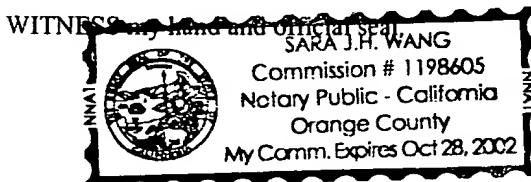
Shiho Wang

STATE OF California }  
COUNTY OF Orange } ss.

On DEC. 10, 2001, before me, SARA J. H. WANG, Notary Public, personally appeared Shiho Wang personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

 COPY

[SEAL]



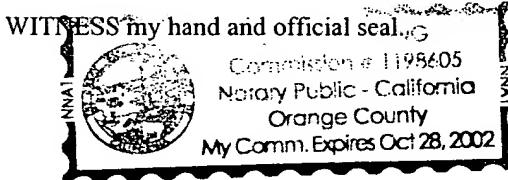
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10<sup>th</sup> day of December, 2001.

Yasar Halefoglu

STATE OF CALIFORNIA }  
COUNTY OF ORANGE } ss.

[SEAL]



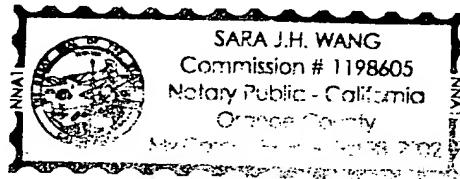
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 16<sup>th</sup> day of December, 2001.

Chih-hsing Cheng

STATE OF California } ss.  
COUNTY OF Orange }

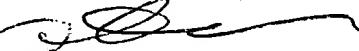
[SEAL]



Notary Signature

COPY

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10<sup>th</sup> day of December, 2001.

  
Dengfeng Xu

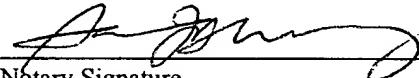
STATE OF CALIFORNIA ] ss.  
COUNTY OF ORANGE ]

On DEC. 10, 2001, before me, SARA J.H. WANG, NOTARY PUBLIC, personally appeared Dengfeng Xu personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

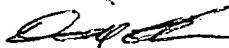
WITNESS my hand and official seal



SARA J.H. WANG  
Commission # 1198605  
Notary Public - California  
Orange County  
My Comm. Expires Oct 28, 2002

  
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10<sup>th</sup> day of DECEMBER, 2001.

  
David Kwong Nung Chan

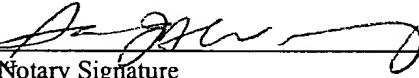
STATE OF CALIFORNIA ] ss.  
COUNTY OF ORANGE ]

On DEC. 10, 2001, before me, SARA J.H. WANG, NOTARY PUBLIC, personally appeared David Kwong Nung Chan personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

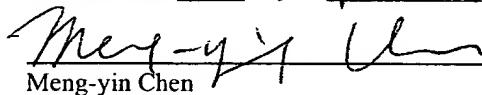
WITNESS my hand and official seal



Commission # 1198605  
Notary Public - California  
Orange County  
My Comm. Expires Oct 28, 2002

  
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10<sup>th</sup> day of December, 2001.

  
Meng-yin Chen

STATE OF California ] ss.  
COUNTY OF Orange ]

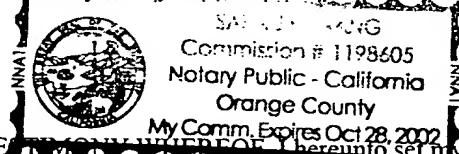
On DEC. 10, 2001, before me, SARA J.H. WANG, NOTARY PUBLIC, personally appeared Meng-yin Chen personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed



to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Notary Signature

IN TESTIMONY WHEREOF, hereunto set my hand and seal this 10<sup>th</sup> day of December, 2001

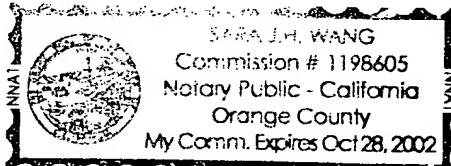
Chinh Do

STATE OF California ] ss.  
COUNTY OF Orange

On DEC. 10, 2001, before me, SARA J.H. WANG, Notary Public, personally appeared Chinh Do personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Notary Signature

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